

TITLE OF THE INVENTION

SEMICONDUCTOR APPARATUS

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is based upon and claims the
5 benefit of priority from the prior Japanese Patent
Application No. 2002-220031, filed July 29, 2002, the
entire contents of which are incorporated herein by
reference.

BACKGROUND OF THE INVENTION

10 1. Field of the Invention

The present invention relates to a semiconductor
apparatus having a strained-Si channel, and more
particularly to a semiconductor apparatus having
an improved gate insulating film or gate electrode
15 structure.

2. Description of the Related Art

In recent years, as a method of improving electron
mobility, which is one of the guidelines of realization
of high performance of an Si-MOSFET, a technology
20 which applies a strain to an Si layer has attracted
attention. When the strain is applied to the Si layer,
its band structure is changed, and scattering of
carriers in a channel can be suppressed. Therefore, an
improvement in mobility can be expected. Specifically,
25 a compound crystal layer formed of a material having
a larger lattice constant than that of Si, e.g.,
an SiGe compound crystal layer (which will be simply

referred to as an SiGe layer hereinafter) having a Ge concentration of 20% is formed on an Si substrate, and an Si layer is formed on this SiGe layer. Then, a strained-Si layer, to which a strain is applied due 5 to a difference in lattice constant, is formed.

It has been reported that a great improvement in the electron mobility which is approximately 1.76 times that of a semiconductor device using a strain-free channel layer can be achieved when such a strained-Si 10 layer is used for a channel of a semiconductor device (J. Welser, J.L. Hoyl, S. Takagi, and J.F. Gibbons, IEDM 94-373).

Further, when realization of a short channel of a MOSFET is advanced in order to improve the electron 15 mobility, the influence of stray capacitance becomes large, and it is difficult to improve the electron mobility as expected. In order to solve this problem, a structure in which the semiconductor channel layer is provided on an SOI (Silicon On Insulator) structure has 20 attracted attention. By introducing this structure, a reduction in stray capacitance or isolation of elements can be facilitated, and realization of a further reduction in power consumption or higher integration can be expected compared with the prior art.

25 On the other hand, as MOSFETs gradually become minute, in a structure where a gate insulating film/channel layer is formed on a conventional oxide

film/Si substrate, it is expected that a limit in realization of minuteness will be reached in 2010 or later years (ITRS Roadmap 2000). Here, as one of the problems which first reach the limit in realization of minuteness as well as a limit in lithography, there is the sudden increase in tunnel leakage current due to a reduction in film thickness beyond several nm, which is against a reduction in power consumption, or the limit in reduction in film thickness of an oxide film that 10 an operation failure due to a deterioration in film quality owing to a reduction in film can be expected.

As a result of an examination concerning an increase in leakage current in an oxide film having a film thickness of 1 nm, a fact that the roadmap of ITRS 15 is adequate has been already demonstrated as actual data (M. Hirose et al., Smicond. Sci. Tecnol. 15, 485 (2000)). Therefore, in order to continue realization of minuteness in future and subsequently aim at an improvement in an element characteristic such 20 as offering of sophisticated functions, higher performances, a higher speed and others, an alternative technique of the oxide film as well as an improvement in the channel layer must be developed.

As described above, in a semiconductor device 25 including a strained-Si channel layer in the prior art, although realization of higher performances can be expected as compared with a device in which the channel

layer is formed directly on the Si substrate, it is considered that a new technology must be introduced to structures other than the channel layer in the case of aiming at realization of higher performances in future.

5 Therefore, in a structure using the strained-Si channel layer, there is desired realization of a semiconductor apparatus which can suppress a deterioration in film quality of a gate insulating film due to realization of minuteness and an increase in leakage
10 current and which can further improve an element characteristic.

BRIEF SUMMARY OF THE INVENTION

According to a first aspect of the present invention, there is provided a semiconductor apparatus comprising:

a substrate;
a buffer layer made of a monocrystal semiconductor material and formed on the substrate;
a strained-Si layer formed on the buffer layer and having a lattice constant different from that of the buffer layer;
a monocrystal insulating film formed on the strained-Si layer, the monocrystal insulating film being made of a material having a rare earth structure with a lattice constant different from that of Si; and
an electrode formed on the insulating film.
Further, according to a second aspect of the

present invention, there is provided a semiconductor apparatus comprising:

a substrate;

5 a buffer layer made of a monocrystal semiconductor material and formed on the substrate;

a strained-silicon layer formed on the buffer layer and having a lattice constant different from that of the buffer layer;

10 a source region and a drain region formed in the strained-silicon layer so as to be separated from each other;

15 a gate insulating film formed on the strained-silicon layer sandwiched between the source region and the drain region and made of a monocrystal rare earth oxide having a lattice constant different from that of silicon; and

a gate electrode formed on the gate insulating film.

Furthermore, according to a third aspect of the
20 present invention, there is provided a semiconductor apparatus comprising:

a substrate;

an insulating film formed on the substrate;

25 a first gate electrode made of a first monocrystal semiconductor material;

a first gate insulating film made of a first monocrystal rare earth oxide and formed on the first

gate electrode;

a monocrystal Si layer formed on the first gate insulating film;

5 a second gate insulating film made of a second monocrystal rare earth oxide and formed at a position opposed to the first gate insulating film on the monocrystal Si layer; and

10 a second gate electrode made of a second monocrystal semiconductor material and formed on the second gate insulating film.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

FIG. 1 is a cross-sectional view showing an element structure of a semiconductor apparatus according to a first embodiment;

15 FIG. 2 is a cross-sectional view showing a typical example of a conventional MOSFET structure;

FIG. 3 is a cross-sectional view showing an element structure of a semiconductor apparatus according to a second embodiment;

20 FIG. 4 is a cross-sectional view showing an element structure of a semiconductor apparatus according to a third embodiment;

25 FIG. 5 is a cross-sectional view showing an element structure of a semiconductor apparatus according to a fourth embodiment;

FIG. 6 is a cross-sectional view showing an element structure of a modification of the

semiconductor apparatus according to the fourth embodiment; and

FIG. 7 is a cross-sectional view showing
an element structure of a semiconductor apparatus
according to the fifth embodiment.

DETAILED DESCRIPTION OF THE INVENTION

According to an embodiment which will be described later, an improvement in a mobility can be expected because a strained-Si layer is used for a channel layer, and a crystal insulating layer having a rare earth structure with a lattice constant different from that of a channel layer is used for a gate insulating film. Therefore, a dielectric constant in the gate insulating film can be increased, and an increase in leakage current due to a reduction in film thickness of the gate insulating film involved by realization of minuteness of an element can be suppressed. Accordingly, problems of a limit in realization of a higher speed of the channel layer and a limit in reduction in film thickness of the gate insulating film can be solved at the same time.

Here, in a report about a case that CeO_2 is subjected to epitaxial growth on relaxed Si (R.A. McKee et al., Science 293, 468 (2001), or Y. Nishikawa et al., Ext. Abstracts, SSDM 2001, 174)), it is found that an insulating film which has an dielectric constant exceeding 10 can be formed, and it is also revealed

that a reduction in leakage of more than five digits is possible if EOT (Equivalent Oxide Thickness) is equal to that of a conventional oxide film. In the case of strained Si on the relaxed SiGe, a tensile strain is given to the Si, and a larger tensile strain than that in the case of the relaxed Si is generated in CeO_2 formed on Si. Thus, a further effect of an improvement in dielectric constant can be expected.

Moreover, a gate electrode can be formed of a crystal layer on the gate insulating film and, as a result, a channel layer, a gate insulating film and a gate electrode can be continuously formed at a low temperature in some cases. Additionally, a so-called SOI structure is formed by forming the above-described structure on the insulating layer, which is effective for a reduction in power consumption. Therefore, it is possible to realize formation of an element on a substrate with a low melting point such as a glass which cannot be conventionally manufactured and formation of an element in a so-called damascene structure, and a semiconductor element with a high quality and a high performance can be formed with a low cost with the number of manufacturing steps being reduced. Further, a reduction of power consumption of the manufactured element is also enabled.

Embodiments according to the present invention will now be described with reference to the

accompanying drawings.

(First Embodiment)

FIG. 1 is a cross-sectional view showing
an element structure of a semiconductor apparatus
5 according to a first embodiment of the present
invention.

A monocrystal SiGe layer 12 as a buffer layer
is laminated on a monocrystal Si substrate 11.

10 A laminated layer of thin films is usually formed by
a CVD (chemical vapor deposition) or MBE (molecular
beam epitaxy) process. For example, in the case of
forming the SiGe layer by CVD, a source gas of Si and
a source gas of Ge are led onto the Si substrate 11
heated to, e.g., 550°C, and the SiGe layer 12 having
15 a thickness of, e.g., 50 nm is formed. At this moment,
a Ge composition of the SiGe layer 12 on the front
surface side is typically not less than 5% and less
than 60% and, preferably not less than 20% and less
than 50%.

20 The SiGe layer 12 can relax a strain caused due to
a difference in lattice constant from the underlying Si
substrate 11 by, e.g., leading a dislocation in the
layer, and must release the strain so that relaxed
SiGe can be obtained on the front surface side of
25 the crystal. Therefore, the SiGe layer 12 varies
the lattice constant in a direction vertical to the
substrate by changing a Ge concentration in a direction

of crystal growth vertical to the substrate surface.

Further, the SiGe layer 12 in which strain relax is realized by bonding or a condense method with oxidation process (T. Tezuka et al., IEDM Tech. Dig., 5 946 (2001)) may be formed on the Si substrate 11.

As a result, the SiGe layer 12 can have a function as a stressor which applies a strain to an Si channel layer. It is to be noted that, in the bonding method, a support substrate having the previously-relaxed SiGe 10 layer 12 formed thereto is bonded on the surface of the Si substrate 11 directly or through an oxide film, and then only the relaxed SiGe layer 12 is left by peeling the support substrate having the SiGe layer 12 formed thereto.

15 A monocrystal Si layer 13 having a thickness of 20 nm which serves as a channel layer of an MOSFET is formed on the relaxed SiGe layer 12. At this moment, a tensile strain is given to the Si layer 13 because of a difference in lattice constant between SiGe and Si.

20 Here, it is good enough that a lattice constant difference on an interface of the SiGe layer/Si layer is not less than $|\Delta d| > 0.01\%$, and a range of $|\Delta d| < 0.02\%$ to 4% is particularly desirable.

25 A monocrystal insulting layer 14 having a thickness of 3 nm, e.g., an oxidized cerium (CeO_2) film is formed on the strained-Si layer 13 having a tensile strain by using, e.g., a molecular beam epitaxy method.

On this CeO₂ film 14 is formed a poly-Si layer 15 with a thickness of 200 nm as a gate electrode. Here, the CeO₂ film 14 is a film which epitaxially grows on the Si substrate, especially Si (111) surface. It is to
5 be noted that the monocrystal gate insulating film 14 is not restricted to the CeO₂ film as long as it is an insulating film which epitaxially grows on the Si substrate, and any other rare earth oxide, e.g., PrO₂ can be used. Moreover, a material other than the rare
10 earth oxides can be used.

Additionally, by using a rare earth oxide as typified by the CeO₂ film, a dielectric constant of the insulating film becomes not less than 10. When a transistor is manufactured by using this, it is
15 possible to produce a high-performance transistor which can reduce a leakage current and has an effective oxide film thickness (teff) of approximately 1.0 nm.

FIG. 2 shows a typical example of a conventional MOSFET structure for comparison. A difference from FIG. 1 lies in that an amorphous Si oxide film (SiO₂)
20 16 is formed in place of the crystal insulating layer 14. Here, a relative dielectric constant of the SiO₂ film 16 is as small as approximately 3.8, and an oxide film thickness must be reduced to a 1 nm order in
16 is formed in place of the crystal insulating layer 14. Here, a relative dielectric constant of the SiO₂ film 16 is as small as approximately 3.8, and an oxide film thickness must be reduced to a 1 nm order in
25 an element whose latest size is approximately 100 nm × 100 nm. As a result, formation of the thin insulating film itself is very difficult. Even if a uniform very

thin oxide film which can assure a yield can be formed, an increase in tunnel current is large, and an increase in power consumption in an operation of the element cannot be avoided. Therefore, it is difficult to adopt 5 it as a logical element device.

As described above, according to this embodiment, since the monocrystal CeO_2 is used as the gate insulating film 14, a dielectric constant in the gate insulating film 14 can be increased, and it is possible to suppress an increase in leakage current due to a reduction in film thickness of the gate insulating film involved by realization of minuteness of the element. 10 Therefore, it is possible to realize the MOSFET which can operate at a high speed and has a high reliability 15 with an improvement in the mobility due to a use of the strained-Si channel layer as an element formation layer.

(Second Embodiment)

FIG. 3 is a cross-sectional view showing an 20 element structure of a semiconductor apparatus according to a second embodiment of the present invention. It is to be noted that like reference numerals denote parts equal to those in FIG. 1, thereby eliminating their detailed explanation. 25

A difference of this embodiment from the first embodiment mentioned above is a use of monocrystal Si or SiGe as a gate electrode 17. In the case of using

monocrystal Si as the gate electrode 17 formed on a monocrystal gate insulating film 14, the gate electrode can be formed at a typical low temperature of 500°C to 700°C in a temperature range of approximately 400°C to 5 1000°C by utilizing the CDV method or the MBE method using a compound gas such as silane (SiH_4), disilane (Si_2H_6), dichlorsilane (SiH_2Cl_2) or silane tetrachloride (SiCl_4) as a source gas. At the time of 10 this crystal growth, impurities can be simultaneously added. B or Sb is added when forming a p type gate electrode, and As or P is added when forming an n type gate electrode.

Further, in the case of using monocrystal SiGe as the gate electrode 17, it is good enough to use a Ge 15 source gas, e.g., a GeH_4 gas (germane gas) in addition to the Si source gas. If the gate electrode 17 is formed of SiGe, since a larger tensile strain is given by CeO_2 as the gate insulating film 14, this is 20 advantageous for the dielectric constant improving effect.

It is to be noted that, when generally forming the gate electrode, e.g., $4 \times 10^{15} \text{ cm}^{-2}$ of phosphor must be injected into gate poly-Si by ion implantation, and then activation annealing must be carried out at a 25 temperature of approximately 500°C to 1100°C, or typically 950°C for not more than approximately one minute. However, since this annealing process is

carried out at a high temperature as described above,
a strain relax of the semiconductor layer 13 or
generation of a crystal defect occurs, which may
possibly deteriorate the device characteristic. On the
5 other hand, this embodiment has a characteristic which
can simultaneously realize formation of the gate
electrode and activation at a low temperature,
and maintenance of the device characteristic and
a reduction in process cost by a decrease in the number
10 of steps can be expected.

As described above, according to this embodiment,
the monocrystal CeO₂ is used as the gate insulating
film 14 formed on the strained-Si layer 13 which serves
as a channel layer of the MOSFET, and monocrystal Si or
15 SiGe is used as the gate electrode 17. As a result,
the same advantages as those of the first embodiment
can be obtained, and all the layers from the buffer
layer 12 to the gate electrode 17 can be subjected to
epitaxial growth in the same chamber. Therefore, the
20 high-quality and high-performance MOSFET can be formed
at a low cost realized by a reduction in the number of
manufacturing steps.

(Third Embodiment)

FIG. 4 is a cross-sectional view showing
25 an element structure of a semiconductor apparatus
according to a third embodiment of the present
invention. It is to be noted that like reference

numerals denote parts equal to those in FIG. 1, thereby eliminating their detailed explanation.

A difference of this embodiment from the second embodiment mentioned above lies in that an SiO_2 film as an embedded insulating film 18 is formed between the Si substrate 11 and the SiGe layer 12. This insulating layer 18 can be formed by a method which is usually used, e.g., thermal oxidation for a dry oxide film, a wet oxide film or the like, deposition (CVD), wet oxidation using solution processing, and others.

In FIG. 4, although the SiO_2 film is taken as an example, any material which can serve as an insulating film can be adopted, and extensive organic or inorganic materials can be adopted. A condition required in this case is that a stressor layer which applies a strain to the Si layer 13 as a channel, i.e., the SiGe layer in FIG. 4 can be formed on the insulating layer 18. Therefore, the Si substrate 11 is just a support substrate, and its role cannot be prevented as long as it is a material which can resist an element manufacturing process such as a heat history or chemical processing.

When such a structure is adopted, the same advantages as those in the second embodiment can be obtained, and power consumption as a device can be reduced in order that the element formation substrate can have the SOI structure.

(Fourth Embodiment)

FIG. 5 is a cross-sectional view showing an element structure of a semiconductor apparatus according to a fourth embodiment of the present invention. It is to be noted that like reference numerals denote parts equal to those in FIG. 4, thereby eliminating the detailed explanation.

This embodiment basically adopts a structure of the third embodiment and further concretizes this structure. That is, like the third embodiment, the SiO₂ film as the insulating layer 18 is formed on the Si substrate 11, the gate insulating film 14 formed of the relaxed SiGe layer 12, the monocrystal strained-Si layer 13 and the monocrystal CeO₂ layer and the gate electrode 17 formed of the monocrystal SiGe layer are formed on the SiO₂ film, and the gate electrode 17 and the gate insulating film 14 are processed to have gate structures. A source area 25 and a drain area 26 are formed in the strained-silicon layer 13 so as to sandwich the strained-silicon layer 13 immediately below the gate electrode 17.

An interlayer insulating film 21 is formed on the strained-Si layer 13 and the gate electrode 17, and a contact hole is formed in the insulating film 21. Furthermore, aluminium wirings 22 respectively connected to the source area 25, the drain area 26 and the gate electrode 17 are formed on the insulating film

21. It is to be noted that reference numerals 23 and 24 in the drawing denote element isolation insulating films used to isolate the element area.

In this embodiment, since all the layer structures
5 can be formed by epitaxial growth like the third embodiment, although not shown, the element which requires, e.g., a high-temperature process is formed, and thereafter a semiconductor apparatus can be formed in an area completely surrounded by the element
10 isolation insulating film. That is, it is possible to manufacture an embedded type high-performance transistor using CVD.

As shown in FIG. 6, this embodiment can be applied to a CMOS structure. The left side shows an n channel
15 MOSFET, and an n+ type source area 25 and a drain area 26 are formed in a p type strained-silicon layer 13. The gate electrode 17 can be formed by, e.g., n+ type polysilicon. The right side shows a p channel MOSFET, and a p+ type source area 25' and a drain area 26' are formed in an n-type strained-silicon layer 13'.
20 The gate electrode 17' can be formed by, e.g., p+ type polysilicon. Any other parts have the same reference numerals as those in FIG. 5, thereby eliminating the tautological description.

25 (Fifth Embodiment)

FIG. 6 is a cross-sectional view showing an element structure of a semiconductor apparatus

according to a fifth embodiment of the present invention. It is to be noted that like reference numerals denote parts equal to those in FIG. 5, thereby eliminating their detailed explanation.

5 This embodiment is obtained by improving the third embodiment and has a dual gate structure. That is, an SiO₂ film as the insulting film 18 is formed on the Si substrate 11, and a monocrystal SiGe layer (first gate electrode) 37, a monocrystal CeO₂ layer (first gate insulating film) 34 and a monocrystal strained-Si layer 31 are formed on the SiO₂ film. Here, the SiGe layer 37 functions as the first gate electrode, and the CeO₂ layer 34 functions as the first gate insulating film.

10

15 Further, like the third embodiment, a gate insulating film (second gate insulating film) 1 consisting of monocrystal CeO₂ and a gate electrode (second gate electrode) 17 consisting of monocrystal SiGe layer are formed on the Si layer 13, and the gate electrode 17 and the gate insulating film 14 are processed to have gate structures. A source area 25 and a drain area 26 are formed to the strained-silicon layer 13 so as to sandwich an area of the strained-silicon layer directly below the second gate electrode 17.

20

25 Furthermore, like the fourth embodiment, an interlayer insulating film 21 and wirings 22 are formed on the Si layer 13 and the gate electrode 17.

Incidentally, although wirings for the first gate electrode 37 are not shown in the drawing, it is good enough to extend the first gate electrode 37 in the front and back sides of the drawing so that it is brought into contact with the dedicated wirings 22 at a part other than the transistor portion, for example.

As described above, in this embodiment, since there is adopted a dual gate structure that the gate electrodes are formed not only on the upper side of the monocrystal Si layer 13 which functions as the channel layer but also on the lower side of the same, it is possible to further precisely carry out control of carriers which move in the Si layer 13. Specifically, this structure is effective for the control over a threshold voltage which becomes more important in the conventional MOS structure transistor operation with realization of the minuteness of the transistor, and it is possible to achieve higher performances as compared with the conventional structure.

20 (Modification)

It is to be noted that the present invention is not restricted to the above-described respective embodiments. Although SiGe is used as the relaxed buffer layer in this embodiment, it is possible to use a layer formed of B, As, P, Si, C, Ge, Ga, In, Al or a compound crystal layer of these materials. Specifically, SiC, SiGeC, GaAs, InP, InGaAlP or the

like can be used.

Moreover, although CeO₂ is used as a monocrystal gate insulating film, it is possible to use a nitride including one element selected from the group consisting of Ge, Li, N, Si, Ti and U, or an oxide including one element selected from the group consisting of Am, Ce, Cm, K, Li, Na, Np, Pa, Po, Pu, Rb, Tb, Th, U, Zr and O, each in a rare earth structure having a CaF₂ structure.

Alternatively, it is possible to use a nitride including one element selected from the group consisting of Be, N, Ca, Cd, Mg, U and Zn, or an oxide including one element selected from the group consisting of Dy, Er, Eu, Gd, Ho, In, La, Lu, β -MnNd, Pr, Sc, Sm, Tb, Tl, Tm and Y, each in a rare earth structure having a C-rare earth structure.

Alternatively, it is possible to use an oxyfluoride including one element selected from the group consisting of Na, Ca, Nb, F, La, Ba, Sr and O, or an oxide including one element selected from the group consisting of Ca, Sb, Ta, Nb, Cd, Dy, Ru, Ti, Er, Sn, Gd, Ho, La, Zr, Hf, Pr, Sc, Sm, Tb, Tc, Tm, Y, Yb and Ce, each in a rare earth structure having a pyrochlore structure.

Alternatively, it is possible to use a halide including one element selected from the group consisting of Cs, Cr, K, F and O, an oxide including

one element selected from the group consisting of Ag,
I, Re, Ba, Mo, W, Bi, As, Ca, W, Cd, Ge, Hf, H, Re, Ru,
N, H, Na, Tc, Pb, Rb, Sr, Th, Tl, U, Y, Nb and O, or
a double oxide including one element selected from the
5 group consisting of K, Bi, Mo, W, Li, La, Na, Ce, B,
Ti, Er, Eu, Ho, Gd, Lu, Nd, Sm, Pr, Tb, Tm, Y and O,
each in a rare earth structure having a CaWO_4
(scheelite) structure.

Most of all, CeO_2 , PrO_2 , CaO_2 , TbO_2 , PrO_2 , Dy_2O_3 ,
10 Er_2O_3 , Eu_2O_3 , Gd_2O_3 , Ho_2O_3 , In_2O_3 , La_2O_3 , Lu_2O_3 , Nd_2O_3 ,
 Pr_2O_3 , Sm_2O_3 , Tb_2O_3 , Tl_2O_3 , Tm_2O_3 , Y_2O_3 and Yb_2O_3 are
desirable.

Additionally, a film thickness of each layer can
be appropriately changed in accordance with a specifi-
15 cation. For example, it is good enough to select
a thickness of the SiGe layer as the buffer layer from
a range of 30 to 500 nm. Likewise, it is good enough
to select a thickness of the strained-Si layer as the
channel from a range of 5 to 50 nm, a thickness of the
20 CeO_2 film as the gate insulating film from a range of
0.5 to 10 nm, and the gate electrode from a range of
50 nm to 2 μm .

As described above in detail, according to the
present embodiments, in the structure using the
25 strained-Si channel layer, using a monocrystal rare
earth oxide such as CeO_2 as the gate insulating film
can suppress a deterioration in film quality of the

gate insulating film involved by realization of minuteness or an increase in leakage current, thereby further improving the element characteristic.

Furthermore, the problems of the channel and the insulating film which are an obstacle of realization of high performances of the MOSFET can be solved at the same time, and the high-performance and low-consumption power transistor can be realized by accompanying the SOI. Moreover, since the MOS structure can be formed at a time by crystal growth, a reduction in cost as well as an application as a damascene process can be enabled, both a great reduction in manufacturing processes and realization of high performances can be achieved.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details and representative embodiments shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.